

# Measurement of Pulsewidth via Correlations in Intensity Fluctuations

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Einstein was the first to note that the sky would not be blue without fluctuations in the distribution of the molecules that scatter sunlight. It follows that the intensity of the scattered light fluctuates. These fluctuations are practically undetectable for a large scattering volume such as the atmosphere. However, for a localized source, there result dramatic fluctuations in intensity with a correlation length in frequency that varies inversely with the source size. Measurement of the correlation in intensity fluctuations permits a determination of the pulsewidth in, for example, the synchrotron radiation emitted by a pulse of electrons passing through a magnetic field

## I. INTRODUCTION

We consider a novel method to measure the width,  $\Delta t$ , of a pulse of relativistic electrons (a beam pulse), via the correlations in the intensity fluctuations of radiation emitted when the pulse passes through a magnetic field. Since intensity fluctuations appear to be a kind of noise, this technique is somewhat counterintuitive.

The measurement is based on synchrotron radiation, whose spectrum extends up to a maximum angular frequency  $\omega_{\max} \gg 1/\Delta t$ . This behavior indicates that the radiation is not (first-order) coherent. Then, we can examine the frequency spectrum around a central frequency,  $\omega_0 \gg 1/\Delta t$ . At this high frequency, we must take into account the phase difference between light emitted by different electrons in the beam pulse. Indeed, if the electrons were arranged on a lattice, the phase differences would result in essentially complete destructive interference, and there would be no signal.

But because of fluctuations in the positions of the electrons, a useful signal results. For  $n$  electrons, the average intensity at frequency  $\omega_0 \gg 1/\Delta t$  is  $n$  times that from a single electron; the rms amplitude is  $\sqrt{n}$  times that for a single electron. The radiation at such frequencies is incoherent, in contrast to coherent radiation for which the intensity is  $n^2$  times that for a single electron.

The amplitude of the radiation at a particular frequency could, of course, be positive, or negative, or very close to zero. Thus, if we examine the radiation spectrum over a range of frequencies near  $\omega_0$ , the amplitudes will vary over the range  $\pm\sqrt{n}$ ; the intensity will vary between 0 and  $n$  (times that due to a single electron). There are

100% fluctuations in the intensity as a function of frequency, and the intensity spectrum appears noisy.

In optics, a source whose intensity is  $n$  times that of a unit source, and whose intensity fluctuations have rms magnitude  $n$ , is called a “thermal” or “chaotic” source, as first described by Rayleigh [1]. In case of a signal based on  $n$  independent samples, one class of fluctuations will have size  $\sqrt{n}$ . The 100% intensity fluctuations that arise here should perhaps be called fluctuations of the fluctuations, as discussed in greater detail in sec. VI.

The light from a thermal source, although described as incoherent, still manifests intensity correlations that contain information as to the temporal pulsewidth of the source, which can be extracted with a suitable detector. For a frequency extremely close to  $\omega_0$ , the phases of the amplitudes from the various electrons of a given pulse are still extremely close to those for radiation at  $\omega_0$ , and the total amplitude and intensity are still very close to those at  $\omega_0$ . That is, although the frequency spectrum is subject to 100% fluctuations, there is a correlation length,  $\Gamma_\omega$ , in frequency.

The size of the correlation length  $\Gamma_\omega$  can be estimated by noting that in going from frequency  $\omega$  to  $\omega + \Gamma_\omega$ , the phase difference of radiation from electrons that are the pulsewidth  $\Delta t$  apart changes by about  $180^\circ$ , so that the amplitude for radiation at  $\omega + \Gamma_\omega$  is no longer well correlated to that at frequency  $\omega$ . At once, we expect that

$$\Gamma_\omega \approx \frac{1}{\Delta t}. \quad (1)$$

The correlation length varies inversely with the pulsewidth. This permits a measurement of the pulsewidth by a measurement of the frequency correlation length. Furthermore, the accuracy of the measurement will be greater for a short pulse than for a long one.

This scheme was proposed by one of us [2,3], and recently has been confirmed in the laboratory [4]. It has also been considered in [5].

Section II discusses aspects of the measurement by this technique. Sections III-VII present more detailed derivations of the concept, but without adding anything fundamentally new to the short description given above.

## II. DISCUSSION

The pulsewidth measurement was demonstrated with the apparatus shown in Fig. 1 [4], which collected spectra such as those shown in Fig. 2.

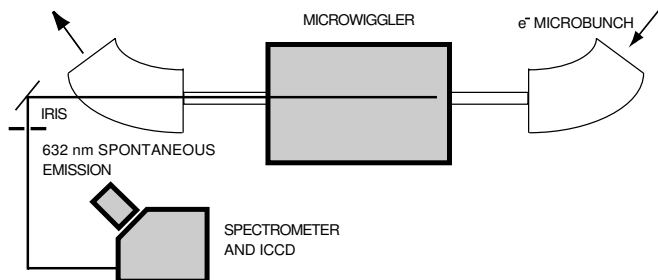


FIG. 1. The apparatus used in [4] to measure the pulsewidth of an electron bunch via frequency correlations. The beam energy was 44 MeV, and each pulse contained about  $10^9$  electrons. Synchrotron radiation was generated in a 50-cm-long wiggler with 0.9-cm period and peak magnetic field of 0.4 T. Visible radiation centered about 620 nm was analyzed in a spectrometer with 0.6-nm resolution.

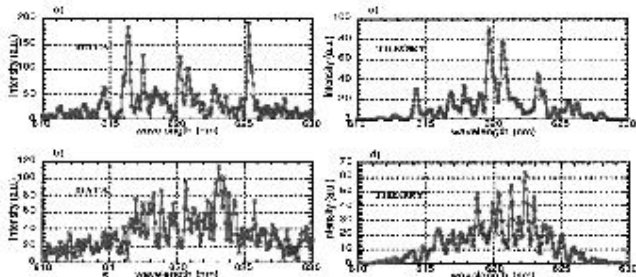


FIG. 2. Single-shot spectra of a portion of the synchrotron radiation from electron pulses measured by another technique to have pulsewidths of 1.5 ps (top) and 4.5 ps (bottom) [4].

The intensity spectrum looks something like a random ‘comb’, with ‘teeth’ whose average height is  $n$  times that due to a single electron, and whose width in frequency is  $\Gamma_\omega = 1/\Delta t$ . As seen in Fig. 2, the teeth are indeed wider for the shorter electron beam pulse.

If one could not resolve the teeth, the spectrum would, of course, appear to be smooth. This is what happens when we look at the sky. The strength of the scattered light depends on the density of the molecules (and not on the square of the density). But, because the atmosphere is thick, the frequency correlation length is extremely short – much less than the spectral resolution of our eyes. Hence, we have no everyday experience of the ‘frequency comb’.

Some remarks about measurements:

$$c\Delta t \approx \frac{c}{\Gamma_\omega} = \frac{c}{\omega_0} \frac{\omega_0}{\Gamma_\omega} \approx \lambda_0 \frac{\omega_0}{\Gamma_\omega}. \quad (2)$$

The shortest pulse that could be measured this way corresponds to  $\Gamma_\omega \approx \omega_0$ , *i.e.*,  $c\Delta t \approx \lambda_0$  [ $\approx 1 \mu\text{m} \approx 3 \text{ fs}$  for an optical detector].

## III. NONRELATIVISTIC DC CURRENT

The electromagnetic fields of a closed loop of a continuous charge distribution in steady motion with any velocity have no time dependence, and hence, no radiation. However, individual charges moving with velocity  $v$  in, for example, a ring of radius  $r$  are subject to accelerations  $v^2/r$  (with  $v \approx 1 \text{ m/s}$  for a copper wire), and would radiate if they were in isolation. How does the radiation come to be suppressed as the charge distribution changes from a discrete collection of  $n$  charges to an effectively continuous distribution of a large number of charges?

Following J.J. Thomson [6], we note that for a ring of charge, radiation is expected only at harmonics of the fundamental angular frequency  $\omega = v/r$ . Indeed, the field component at the  $m$ th harmonic depends on the strength of the  $m$ th multipole of the charge distribution, which depends on the  $m$ th power of the positions of the charges. For example, if  $\theta$  is the azimuthal angle of some electron to the  $z$  axis, which is both a diameter of the ring and the line of sight to the observer, then the  $m$ th multipole moment depends on  $\cos^m \theta$ , which has a leading term of  $\cos m\theta = \text{Re}(e^{im\theta})$ . For  $n$  particles regularly spaced around a ring that rotates with angular frequency  $\omega$ , the  $j$ th particle has azimuth  $\theta_j = \omega t + 2\pi j/n$ , and so the total contribution to the  $m$ th moment is proportional to

$$\sum_j e^{im(\omega t + 2\pi j/n)} = e^{im\omega t} \sum_j e^{2im\pi j/n}. \quad (3)$$

For large  $n$ , this sum is negligible unless the harmonic number  $m$  is a multiple of  $n$ , in which case the sum is  $n$ . That is, for  $n$  charges, the lowest contributing multipole moment is of order  $n$ . But the radiated power at the  $n$ th multipole varies as  $(v/c)^{2n}$ , so for nonrelativistic velocities, as in a wire, the radiation is heavily suppressed.

A more detailed treatment [6] shows that the radiated power at the  $n$ th harmonic is proportional to  $(nv/c)^{2n}/(n!)^2$ , which reduces to  $(v/c)^{2n}$  with the aid of Stirling’s approximation.

Recall that the use of a multipole expansion is a systematic way of treating interference effects between charges at different places within a localized source.

See also problems 14.23 and 14.24 of [7], or problem 12.53 of [9].

## IV. RELATIVISTIC DC CURRENT AND LONG PULSES

Instead of nonrelativistic currents in wires, consider relativistic electron beams moving in a magnetic field.

There is no kinematic suppression of high multipole radiation here – synchrotron radiation peaks at the  $\gamma^3$  harmonic [7,8], where  $\gamma = 1/\sqrt{1 - (v/c)^2}$ . Hence the non-relativistic argument of sec. III does not suffice here.

Rather, we note that in the relativistic case, the fundamental frequency has wavelength of order of the ring circumference, and higher harmonics have wavelengths shorter than this. Over a distance of one wavelength at a high harmonic, the arc of the ring is essentially a straight line. The interference that suppress the radiation in the relativistic case must apply to sources that are effectively straight lines. Since the effect of a line source is easy to calculate, and corresponds to a short bunch of electrons in the laboratory, we only treat line sources from now on.

In the remainder of this section, we demonstrate the expected result that a smooth distribution of charge moving along a line does not radiate at wavelengths small compared to the characteristic length (bunch length) of the distribution. At wavelengths larger than the bunch length, coherent radiation is observed from  $n$  charges with intensity  $n^2$  times that from a single charge.

Consider a charged particle moving with  $v \approx c$  primarily along the  $z$  axis. The particle emits radiation that is detected, for simplicity, in the forward direction. The observer is at  $z = r$ . The amplitude of the detected radiation from the single charge (emitted when it was at  $z$ ) is written as

$$A = \int d\omega a(\omega, z) e^{i[k(r-z) - \omega t]}, \quad (4)$$

where  $a(\omega, z)$  describes the frequency spectrum of the radiation.

The dependence of  $a$  on  $z$  reflects the details of the radiation process. We do not wish to emphasize those details here, and will ignore the dependence of  $a$  on  $z$ . This is justified, for example, by supposing that the beam passes through a short region of nearly uniform transverse magnetic field, resulting in a pulse of synchrotron radiation.

We next consider a bunch of  $n$  charges, which are at positions  $z_j$ ,  $j = 1, \dots, n$ , at the relevant time of emission. Then

$$A = \sum_{j=1}^n \int d\omega a(\omega) e^{i[k(r-z_j) - \omega t]}. \quad (5)$$

The instantaneous power of the radiation at the observer is proportional to  $|A|^2$ . A radiation detector typically integrates the intensity over time to observe the total pulse energy  $U$ . The detector may contain a spectrometer that analyzes the pulse energy according to

$$U = \int U(\omega) d\omega, \quad (6)$$

where

$$U(\omega) \propto |A(\omega)|^2, \quad (7)$$

and

$$A = \int A(\omega) e^{-i\omega t}. \quad (8)$$

### A. Continuum Approximation

We replace the sum over  $j$  by an integral over  $z$ , with  $\rho(z)$  describing the effective density of the charges. That is,

$$\begin{aligned} A &\approx \int dz \rho(z) \int d\omega a(\omega) e^{i[k(r-z) - \omega t]} \\ &= \int d\omega a(\omega) e^{i[kr - \omega t]} \int dz \rho(z) e^{-ikz} \\ &= \int d\omega a(\omega) \rho(\omega) e^{i[kr - \omega t]}, \end{aligned} \quad (9)$$

where  $\rho(\omega = kc)$  is the Fourier transform of the charge distribution.

In the following, we will generally normalize the radiation to that of a single electron. Then it suffices to note that the Fourier components of the field amplitude and of the pulse energy obey  $A(\omega) \propto \rho(\omega)$  and  $U(\omega) \propto |\rho(\omega)|^2$ , respectively.

### B. Uniform Bunch

For example, consider a uniform charge distribution extending from  $z = 0$  to  $l$ . Then  $\rho(z) = n/l$  on this interval, and

$$\rho(\omega) = \int_0^l dz \frac{n}{l} e^{-ikz} = n e^{-ikl/2} \frac{\sin kl/2}{kl/2}. \quad (10)$$

This is big only for  $kl < 1$ , in which case  $\rho(\omega) \approx n$ , and the observer detects coherent radiation from an effective charge of size  $n$ . But for wavelengths shorter than the bunch length, the radiation is heavily suppressed (by destructive interference).

We learn more by considering the pulse energy, which in a narrow frequency interval will be proportional to  $|\rho(\omega)|^2$ . Namely,

$$|\rho(\omega)|^2 \approx \left(\frac{2n}{kl}\right)^2 \sin^2 kl/2. \quad (11)$$

The sine varies rapidly between 0 and 1 for small changes in frequency, so we replace it by its average,  $1/2$ . (This corresponds to averaging over uniform charge distributions of lengths near  $l$ , but which vary in length by more than a wavelength.) Then,

$$\langle |\rho(\omega)|^2 \rangle \approx 2 \left(\frac{n}{kl}\right)^2. \quad (12)$$

At high frequencies the radiation falls off as  $1/\omega^2$ , *i.e.*, rather slowly. This is a result of the assumption of a sharp edge to the charge density distribution, which enhances the high-frequency end of the spectrum.

### C. Gaussian Bunch

We also consider a Gaussian distribution of  $n$  charges, with rms length  $\sigma$ :

$$\rho(z) = \frac{n}{\sqrt{2\pi}\sigma} e^{-z^2/2\sigma^2}. \quad (13)$$

Then,

$$\rho(\omega) = \int dz \frac{n}{\sqrt{2\pi}\sigma} e^{-z^2/2\sigma^2} e^{-ikz} = n e^{-(k\sigma)^2/2}. \quad (14)$$

This is exponentially suppressed once  $k\sigma > 1$ , showing that a smoothly varying charge distribution results in extremely small radiation as frequencies large compared to the reciprocal of the pulsewidth.

### V. FLUCTUATIONS

We now consider the effect of fluctuations in the distribution of the electrons within the beam pulse.

The following argument derives from the famous extensions of Smoluchowski [10] and Einstein [11] of Rayleigh's treatment of the blue sky [13]. Smoluchowski noted that density fluctuations in the atmosphere lead to a scattered intensity proportional to the number of molecules. Apparently, he initially thought this was an additional contribution to Rayleigh scattering, but Einstein pointed out that interference effects would suppress the scattering in the absence of fluctuations [12]; all Rayleigh scattering is due to density fluctuations. Both Smoluchowski and Einstein noted that density fluctuations play a key role whatever their origin, but their detailed discussion emphasized thermal fluctuations; indeed, in 1910 it was still preferred to use general principles of thermodynamics over models based on molecules. An argument such as that given below, in which temperature is not mentioned, was perhaps first given by Lorentz [14].

The  $n$  particles of the bunch are distributed along the  $z$  axis. We partition this distribution into intervals, labelled by index  $j$ , of length small compared to the wavelength of interest of the radiation, but large enough that the population  $n_j$  is large compared to one for intervals near the center of the bunch.

We label the number of electrons in interval  $j$  in the absence of density fluctuations as  $N_j$ , and we write the fluctuations about this value as  $\delta n_j$ . That is,

$$n_j = N_j + \delta n_j, \quad (15)$$

where,

$$\sum_j N_j = n, \quad \langle \delta n_j \rangle = 0, \quad \langle (\delta n_j)^2 \rangle = N_j. \quad (16)$$

Then, the Fourier transform of the density distribution is

$$\rho(\omega) = \sum_j n_j e^{-ikz_j} = \sum_j (N_j + \delta n_j) e^{-ikz_j}. \quad (17)$$

The average of the second term is zero, by definition. According to the argument of sec. IV above, the average value of the first term is effectively zero for frequencies large compared to  $1/\Delta t$ , where  $\Delta t$  is the electron pulsewidth. That is, the average value is zero for the amplitude  $A e^{i(kr - \omega t)}$  for the component at frequency  $\omega$  of a pulse of radiation from  $n$  charges. The average is taken over many such pulses.

To calculate the rms (root-mean square) value of the amplitude, we consider

$$\begin{aligned} \langle |\rho(\omega)|^2 \rangle &= \left\langle \left| \sum_j N_j e^{-ikz_j} \right|^2 \right\rangle \\ &+ 2Re \left\langle \left[ \sum_j N_j e^{-ikz_j} \sum_l \delta n_l e^{ikz_l} \right] \right\rangle \\ &+ \left\langle \left| \sum_j \delta n_j e^{-ikz_j} \right|^2 \right\rangle \equiv \langle A \rangle + \langle B \rangle + \langle C \rangle. \end{aligned} \quad (18)$$

The term  $\langle A \rangle$ , which is due to the average charge distribution, is effectively zero for frequencies large compared to  $1/\Delta t$ . Since the fluctuations,  $\delta n_j$ , average to zero, the term  $\langle B \rangle$  is also negligible. All that remains is  $\langle C \rangle$ :

$$\begin{aligned} \langle C \rangle &= \left\langle \left| \sum_j \delta n_j e^{-ikz_j} \right|^2 \right\rangle \\ &= \sum_j (\delta n_j)^2 + \sum_{j \neq l} \delta n_j \delta n_l e^{-ik(z_j - z_l)}. \end{aligned} \quad (19)$$

The second term of this is zero on average, while  $\langle (\delta n_j)^2 \rangle = N_j$ , so

$$\langle |\rho(\omega)|^2 \rangle = \langle C \rangle = \sum_j N_j = n, \quad (20)$$

for  $\omega \gg 1/\Delta t$ .

This is the famous result that the combined intensity of  $n$  sources (scattering centers in the blue sky example) is, on average, only  $n$  times that of a single source if the sources have a random distribution, and the wavelength is small compared to the source size. This behavior is labelled "thermal", although no temperature need be invoked to describe it. Therefore, the label "chaotic" is also used sometimes.

Of course, the result that  $\langle |\rho(\omega)|^2 \rangle = n$  is only true on average, and we should also consider the fluctuations about the mean.

### VI. FLUCTUATIONS OF THE FLUCTUATIONS

It was noted by Ornstein and Zernike [15] that the arguments of Smoluchowski and Einstein are not suffi-

cient in the case where the fluctuations are large, such as near a critical point. That is, Smoluchowski and Einstein did not really explain critical opalescence, but rather the more ordinary case of Rayleigh scattering away from a critical point. When what we call the fluctuations of the fluctuations are important, further analysis is needed based on the concept of correlation functions, first introduced by Ornstein and Zernike. For a general discussion, see [16].

In the example of a narrow pulse of electrons, a phase transition is not possible. However, the concept of a correlation length is highly relevant. This section discusses the variations in the intensity of the radiation at a particular frequency, and the following section takes up the issue of intensity correlations.

The intensity is the square of the amplitude, so if the average intensity is  $n$  times that of a single source, the average amplitude (electric field) must be  $\sqrt{n}$  times that of a single source. The random phases of the fields from the  $n$  sources lead to vector sum of the amplitudes that is a kind of random walk (in amplitude space at a given time) in which the total field has a random phase, and rms magnitude  $\sqrt{n}$  times that of a single source.

We note that the average amplitude in this case is zero, and so the intensity has a statistical distribution with zero as the most probable value, and mean  $n$  times that due to a single electron. The intensity probability distribution has the exponential form

$$P(|\rho(\omega)|^2) \propto e^{-|\rho(\omega)|^2/n}, \quad (21)$$

whose first and second moments are  $n$  and  $2n^2$ , respectively, and therefore whose rms spread is  $n$ . That is, the intensity fluctuations have the same magnitude as the average intensity; we observe 100% fluctuations at any particular frequency.

As an aside, we note that such 100% fluctuations would not occur if the radiation from the individual electrons had amplitudes all of one sign, *i.e.*, if the radiation were unipolar. However, a bounded source cannot emit unipolar electromagnetic radiation [17]. Any real source of electromagnetic radiation produces waves with both positive and negative amplitudes.

The existence of 100% intensity fluctuation is possibly counterintuitive in terms of the common argument that a statistical quantity based on  $n$  samples will have fluctuations of order  $\sqrt{n}$ . Indeed, the starting point of the discussion in sec. V was that the fluctuations  $\delta n_j$  about the mean number  $N_j$  of electrons in cell  $j$  have rms size  $\sqrt{N_j}$ . Yet, the consequent intensity fluctuations are 100%. It may be helpful to supplement the picture of the random walk of amplitudes with a detailed evaluation of the fluctuations in  $|\rho(\omega)|^2$  about its average value of  $n$  using the notation of sec. V. This approach is useful also in calculating the correlation function in the following section.

$$\langle [|\rho(\omega)|^2 - n]^2 \rangle = \langle |\rho(\omega)|^4 \rangle - n^2$$

$$\begin{aligned} &= \langle (A + B + C)^2 \rangle - n^2 \quad (22) \\ &= \langle A^2 \rangle + \langle B^2 \rangle + \langle C^2 \rangle \\ &\quad + 2\text{Re}(\langle AB^* \rangle + \langle AC^* \rangle + \langle BC^* \rangle) - n^2 \\ &= \langle C^2 \rangle - n^2, \end{aligned}$$

recalling facts from sec. V. Now,

$$\begin{aligned} \langle C^2 \rangle &= \left\langle \left[ \sum_j (\delta n_j)^2 + \sum_{j \neq l} \delta n_j \delta n_l e^{-ik(z_j - z_l)} \right]^2 \right\rangle \\ &= \left\langle \left[ \sum_j (\delta n_j)^2 \right]^2 \right\rangle \quad (23) \\ &\quad + 2 \left\langle \sum_j (\delta n_j)^2 \sum_{l \neq m} \delta n_l \delta n_m e^{-ik(z_l - z_m)} \right\rangle \\ &\quad + \left\langle \sum_{j \neq l} \delta n_j \delta n_l e^{-ik(z_j - z_l)} \sum_{p \neq q} \delta n_p \delta n_q e^{ik(z_p - z_q)} \right\rangle. \end{aligned}$$

The first term averages to  $n^2$ , the second term averages to zero, and the third term averages to zero, except when  $j = p$  and  $l = q$  (or  $j = q$  and  $l = p$ ). Hence,

$$\begin{aligned} \langle [|\rho(\omega)|^2 - n]^2 \rangle &= \langle C^2 \rangle - n^2 = 2 \left\langle \sum_{j \neq l} (\delta n_j)^2 (\delta n_l)^2 \right\rangle \\ &= 2 \sum_{j \neq l} N_j N_l. \quad (24) \end{aligned}$$

For example, if the  $n$  particles were uniformly distributed over  $m$  intervals, then  $N_j = n/m$ , and

$$\langle [|\rho(\omega)|^2 - n]^2 \rangle = 2 \left( \frac{n}{m} \right)^2 \frac{m(m-1)}{2} \approx n^2. \quad (25)$$

Thus, the rms fluctuations in  $|\rho(\omega)|^2$  have size  $n$ , which is equal to the average of  $|\rho(\omega)|^2$  itself! The intensity fluctuations are 100% at any particular frequency.

When we look at a cloud-free sky on a sunny day, it is blue, not black and blue! Why don't we have any everyday experience of the 100% intensity fluctuations? The answer is to be found by considering the intensity at closely neighboring frequencies.

## VII. FREQUENCY CORRELATIONS

While the distribution of charges is said to be random, the relative positions of the charges are fixed during any particular pulse. The interference among the radiation from the  $n$  charges of a particular pulse depends on the phase differences arising from the fixed, but random, spatial distribution of the charges. Of course, the phase differences also depend on the frequency being observed.

For a small change in frequency, there is only a small change in the phase differences in a particular pulse.

Hence, we expect a strong correlation in the amplitude of the radiation for closely neighboring frequencies. For a pulse of characteristic length  $l$ , the correlation will persist from a given frequency  $\omega$  to frequency  $\omega'$  such that, roughly, the phase difference in the radiation from electrons separated by distance  $l = c\Delta t$  is different by  $180^\circ$  at frequencies  $\omega$  and  $\omega'$ . A large amplitude at frequency  $\omega$  would then correspond to essentially zero amplitude at frequency  $\omega'$ , *etc.*

The correlation persists over wave numbers such that  $\Delta kl = \Delta\omega\Delta t \approx 1$ , which translates into a frequency correlation length of

$$\Gamma_\omega \approx \frac{1}{\Delta t}. \quad (26)$$

On the other hand, for frequencies that differ by a few correlation lengths, it is not improbable that the intensities have similar values, but with one or more dips to near zero at intermediate frequencies.

The intensity spectrum appears to consist of spikes of width  $\Gamma_\omega$ , with average separation also  $\Gamma_\omega$ . The heights of the spikes follow the exponential distribution (21) with mean  $n$  times that of the radiation from a single electron and rms variation equal to the mean.

This spectral structure can only be observed by a detector with a frequency bandwidth larger than  $\Gamma_\omega$ . Otherwise, the signal is averaged over the fluctuations, which latter are then not detectable. For example, the frequency correlation length of the blue sky is much less than the spectral resolution of our eyes, so we are unaware of the 100% intensity fluctuations.

A formal measure of the intensity correlation is the correlation function:

$$\begin{aligned} \Gamma(\omega, \omega') &= \langle U(\omega)U(\omega') \rangle - \langle U(\omega) \rangle \langle U(\omega') \rangle \\ &\propto \langle |\rho(\omega)|^2 |\rho(\omega')|^2 \rangle - \langle |\rho(\omega)|^2 \rangle \langle |\rho(\omega')|^2 \rangle. \end{aligned} \quad (27)$$

This is expected to be big for  $\omega = \omega'$ , and near zero for  $\Delta\omega$  greater than the correlation length  $\Gamma_\omega$ .

The correlation function  $\Gamma$  also arises when considering fluctuations in the total pulse energy:

$$\begin{aligned} \langle U^2 \rangle - \langle U \rangle^2 &\propto \left\langle \int \int |\rho(\omega)|^2 |\rho(\omega')|^2 d\omega d\omega' \right\rangle \\ &\quad - \left\langle \int |\rho(\omega)|^2 d\omega \right\rangle \left\langle \int |\rho(\omega')|^2 d\omega' \right\rangle \quad (28) \\ &= \int \int \Gamma(\omega, \omega') d\omega d\omega'. \end{aligned}$$

To evaluate the correlation function  $\Gamma$ , we use the notation of (18) that  $|\rho(\omega)|^2 = A_\omega + B_\omega + C_\omega$ . Then,

$$\begin{aligned} \langle |\rho(\omega)|^2 |\rho(\omega')|^2 \rangle &= \langle (A_\omega + B_\omega + C_\omega)(A_{\omega'} + B_{\omega'} + C_{\omega'}) \rangle \\ &= \langle C_\omega C_{\omega'} \rangle \\ &= \left\langle \left[ \sum_j (\delta n_j)^2 + \sum_{j \neq l} \delta n_j \delta n_l e^{-ik(z_j - z_l)} \right] \right\rangle \end{aligned}$$

$$\begin{aligned} &\left\langle \left[ \sum_p (\delta n_p)^2 + \sum_{p \neq q} \delta n_p \delta n_q e^{ik'(z_j - z_l)} \right] \right\rangle \\ &= \left\langle \sum_j (\delta n_j)^2 \sum_l (\delta n_l)^2 \right\rangle \quad (29) \\ &\quad + 2 \left\langle \sum_j (\delta n_j)^2 \sum_{p \neq q} \delta n_p \delta n_q \left( e^{ik(z_j - z_l)} + e^{ik'(z_j - z_l)} \right) \right\rangle \\ &\quad + \left\langle \sum_{j \neq l} (\delta n_j)^2 (\delta n_l)^2 e^{i(k - k')(z_j - z_l)} \right\rangle \\ &\quad + \left\langle \sum_{j \neq l \neq p \neq q} \delta n_j \delta n_l \delta n_p \delta n_q e^{-ik(z_j - z_l)} e^{-ik'(z_p - z_q)} \right\rangle \\ &= n^2 + \sum_{j \neq l} N_j N_l e^{i(k - k')(z_j - z_l)}. \end{aligned}$$

Writing  $k - k' = \Delta k$ , the correlation function (27) is

$$\begin{aligned} \Gamma(\omega, \omega') &= \sum_{j \neq l} N_j N_l e^{i\Delta k(z_j - z_l)} \\ &\approx \int dz \langle \rho(z) \rangle e^{i\Delta k z} \int dz' \langle \rho(z') \rangle e^{-i\Delta k z'}. \end{aligned} \quad (30)$$

We consider the example of  $n$  charges distributed uniformly over a bunch of length  $l = c\Delta t$ , for which  $\langle \rho(z) \rangle = n/l$ . Then,

$$\Gamma(\omega, \omega') = n^2 \frac{\sin^2 \Delta kl/2}{(\Delta kl/2)^2} = n^2 \frac{\sin^2 \Delta\omega\Delta t/2}{(\Delta\omega\Delta t/2)^2}, \quad (31)$$

where  $\Delta\omega = \omega - \omega'$ . As expected,  $\Gamma$  is large only for  $\Delta kl = \Delta\omega\Delta t < 1$ .

Thus, the pulsewidth  $\Delta t$  can be extracted from a measurement of the frequency spectrum of the pulse, by constructing the correlation function  $\Gamma(\omega, \omega')$  from the observed data, and fitting it to the above form.

Similarly, for a Gaussian bunch of particles with  $\rho(z) = ne^{-z^2/2\sigma^2}/\sqrt{2\pi}\sigma$ , the frequency correlation function is

$$\Gamma(\omega, \omega') = n^2 e^{-(\Delta k)^2 \sigma^2} = n^2 e^{-(\Delta\omega)^2 \sigma_t^2}. \quad (32)$$

Again, the frequency correlation length is  $\Delta\omega \approx 1/\sigma_t \approx 1/\Delta t$ .

For completeness, we calculate the fluctuations in the total pulse energy  $U \propto \int |\rho(\omega)|^2 d\omega = n \int d\omega$ .

$$\begin{aligned} \sigma_U^2 &= \langle U^2 \rangle - \langle U \rangle^2 \propto \int \int \Gamma(\omega, \omega') d\omega d\omega' \\ &= n^2 \int \int d\omega d\omega' \frac{\sin^2 \Delta\omega\Delta t/2}{(\Delta\omega\Delta t/2)^2} \approx \frac{n^2}{\Delta t} \int d\omega \quad (33) \end{aligned}$$

That is,

$$\frac{\sigma_U^2}{U^2} = \frac{1}{\Delta t} \frac{1}{d\omega}. \quad (34)$$

The integral  $\int d\omega$  is roughly the total bandwidth of the radiation. Since the premise of the entire analysis was that the total bandwidth be much larger than  $1/\Delta t$ , we conclude that the pulse energy fluctuations are small, but we cannot be much more precise than this without further assumptions.

### VIII. MEASUREMENT ACCURACY

We make a simplified estimate of the accuracy of the measurement of the pulsewidth  $\Delta t$ . The measurement takes place using light near the central frequency  $\omega_0$ . A spectrometer is used to analyze the radiation into  $N_\omega$  intervals (bins) of width  $\Delta\omega_0$ , where that latter is taken to be the resolution of the spectrometer. The number of intervals  $N_\Gamma$  corresponding to one frequency correlation length,  $\Gamma_\omega \approx 1/\Delta t$ , is then  $N_\Gamma = \Gamma_\omega/\Delta\omega_0 \approx 1/\Delta t\Delta\omega_0$ .

Of course, this technique does not work unless the frequency correlation length can be resolved by the spectrometer, *i.e.*, unless  $N_\Gamma \geq 1$ . Hence, the technique applies to short pulses, but is inappropriate for long ones! For very short pulses, the required number of spectrometer bins  $N_\Gamma$  to contain one frequency correlation length may exceed the available number  $N_\Gamma$ , and a measurement cannot be made. The range of pulsewidths that can be analyzed is

$$\frac{1}{N_\omega\Delta\omega_0} < \Delta t < \frac{1}{\Delta\omega_0}, \quad (35)$$

always assuming that the pulsewidth is large compared to  $1/\omega_0$ .

If the spectrometer had exactly  $N_\omega = N_\Gamma$  frequency bins, and if only a single pulse were analyzed, a primitive measurement of the pulsewidth could be made, but the uncertainty would be essentially 100%. For a spectrometer with a larger value of  $N_\omega$ , but still for only a single pulse, we would obtain approximately  $N_\omega/N_\Gamma$  separate measurements of the pulsewidth. Then, in a total of  $N_P$  pulses we obtain roughly  $N_P N_\omega/N_\Gamma$  measurements, and the relative accuracy of the pulsewidth measurement is given by

$$\frac{\sigma_{\Delta t}}{\Delta t} \approx \sqrt{\frac{N_\Gamma}{N_P N_\omega}} \approx \sqrt{\frac{\Delta t \Delta\omega_0}{N_P N_\omega}} = \sqrt{\frac{\Delta t (\Delta\omega_0/\omega_0) \omega_0}{N_P N_\omega}}, \quad (36)$$

where we have introduced the resolution of the spectrometer,  $\Delta\omega_0/\omega_0$ . Within the domain (35) where measurements are possible, the results are more accurate for shorter pulses!

For example, consider a spectrometer with  $\Delta\omega_0/\omega_0 \approx 10^{-4}$  operating with green light ( $\omega_0 \approx 4 \times 10^{15}$ /s). With a detector having  $\approx 400$  channels (each matched to  $\Delta\omega_0$ ), the accuracy of measurement of a single pulse of width  $\Delta t = 1$  ps would be

$$\frac{\sigma_{\Delta t}}{\Delta t} \approx \sqrt{\frac{10^{-12} \cdot 4 \times 10^{15} \cdot 10^{-4}}{400}} \approx \frac{1}{30}. \quad (37)$$

Only 10 pulses would be needed for a 1% measurement.

The arguments in this section have presupposed that there is enough light detected in each frequency bin that a classical analysis holds. See sec. XI for a discussion of quantum effects.

### IX. EFFECT OF LIMITED BANDWIDTH

#### X. TRANSVERSE EFFECTS

In the preceding analysis we have used the simplifying approximation that the electron bunch is a line source. Of course, this cannot be true in practice, so we must consider whether there is any important effect due to the finite transverse extent of the bunch.

Indeed, if the transverse diameter  $d$  of the bunch is too large there will be significant additional phase differences between radiation from electrons at different transverse positions, and the phase information as to the longitudinal structure of the bunch will be diluted. Such concerns were first studied extensively by van Cittert [18] and Zernike [19], who used the term ‘‘transversely coherent’’ to describe a source for which phase differences from points at different transverse coordinates are negligible.

In brief, if light of wavelength  $\lambda$  from a source of diameter  $d$  is processed through an aperture of diameter  $D$  at distance  $S$  from the source, then the additional phase differences are negligible if the angular size of the aperture,  $D/S$ , is less than the diffraction angle,  $\lambda/d$ , associated with light of wavelength  $\lambda$  emitted by a source of diameter  $d$ . The largest aperture for which this is true is called the transverse coherence length,  $D_{\text{coh}}$ , at distance  $S$  from the source, for which

$$D_{\text{coh}} \approx S \frac{\lambda}{d}. \quad (38)$$

For the present example, it suffices to suppose that the wiggler parameters were chosen so that the optical radiation was synchrotron radiation (rather than undulator radiation) near the critical frequency  $\omega_C \approx \gamma^3 c/R$ , where  $R$  is the radius of curvature of the electrons’ trajectory in the wiggler. Then, as discussed in ref. [8], the radiation has a characteristic angular spread  $\theta_0 \approx 1/\gamma$ , and is exponentially suppressed at larger angles. Therefore, in the present application, most of the light will be collected by an aperture that obeys  $D/S = \theta_0$ . We desire that the physical aperture  $D$  be equal to the transverse coherence length  $D_{\text{coh}}$  to avoid loss of longitudinal phase information. Then, eq. (38) indicates that the electron bunch should have transverse diameter

$$d \lesssim \frac{\lambda}{\theta_0} \quad (39)$$

Also, if the electrons in the bunch have an angular spread  $\theta$  that is larger than the characteristic radiation angle  $\theta_0$ , not all of the radiation is useful. The product

of the transverse size  $d$  and angular spread  $\theta$  is called the transverse emittance  $\epsilon$  of the source. We see from (39) that the transverse emittance should obey

$$\epsilon \lesssim \lambda. \quad (40)$$

Electrons beams that satisfy (40) are said to be of optical quality. Common units for emittance are mm-mrad =  $10^{-6}$  m-rad. Since optical wavelengths have  $\lambda \approx 10^{-6}$  m, an optical-quality electron beam must have transverse emittance less than about 1 mm-mrad.

An interesting variant of the above issues is the question: what is the apparent transverse size of the source of synchrotron radiation from an electron beam of very small transverse emittance? For example, consider radiation from a single electron, whose transverse position can be known to a Compton wavelength ( $\approx 10^{-11}$  cm). However, the apparent source size as determined from its synchrotron radiation is much larger. Indeed, the laws of diffraction require that a radiation pattern with characteristic angle  $\theta_0$  have an apparent source size that we write as

$$d_{\text{coh}} \approx \frac{\lambda}{\theta_0} \approx \gamma\lambda, \quad (41)$$

where the second form holds for synchrotron radiation near the critical frequency. That is, the apparent transverse source size for synchrotron radiation from a single electron just saturates the van-Cittert-Zernike criterion (39) for transverse coherence.

In ref. [8], it is shown that this conclusion can be reached from another another perspective.

## XI. QUANTUM EFFECTS

The preceding analysis has been entirely classical so far as the electromagnetic waves are concerned. The  $n$  charges have, of course, been assumed to have discrete, identical values. In a quantum view, electromagnetic radiation can be described in terms of photons, and the pulse energy  $\Delta U = U_\omega \Delta\omega$  observed in a frequency interval  $\Delta\omega$  corresponds, on average, to  $n_\omega = \Delta U/\hbar\omega$  photons. Quantum optics is concerned with quantum effects on the detection of these photons, while accelerator physics is more concerned with quantum effects on electron beams that radiate photons. Here, we touch on a few relevant aspects of quantum optics, and conclude with some brief remarks on quantum fluctuations in electron storage rings.

### A. Bose-Einstein Statistics

What are the fluctuations  $\delta n_\omega$  in the number  $n_\omega$  of observed photons? In addition to the classical fluctuations in the intensity of synchrotron radiation from a bunch of

electrons, which have magnitude  $\langle n_\omega \rangle$  according to (21), there are quantum fluctuations of magnitude  $\sqrt{\langle n_\omega \rangle}$ . So long as  $\langle n_\omega \rangle > 1$ , classical considerations dominate. In general, the two types of fluctuations combine in quadrature to yield

$$\langle (\delta n_\omega)^2 \rangle = \langle n_\omega \rangle + \langle n_\omega \rangle^2 = \langle n_\omega \rangle (\langle n_\omega \rangle + 1). \quad (42)$$

This expression is a manifestation of Bose-Einstein statistics. It appears to have been first applied to the context of photodetectors by Purcell [20] in a commentary on the Brown-Twiss effect [21–23]. The present considerations of the extraction of information as to pulse size from intensity correlations in frequency can be considered a variant the Brown-Twiss effect in which intensity correlation in time or space give a measure of source size. For an overview of this subject, see [24].

The probability distribution for which (42) is the variance was shown by Mandel [25,26] to be

$$P(n_\omega) = \frac{\langle n_\omega \rangle^{n_\omega}}{(1 + \langle n_\omega \rangle)^{n_\omega + 1}}, \quad (43)$$

which a form of the Bose-Einstein distribution.

The usual caveat to eqs. (42-43) is that they apply only if the photodetector response time is short compared to the coherence time  $1/\Delta\omega_0$  of the light being analyzed. In the present case, this requirement is met by having a source that emits a pulse of radiation with  $\Delta t < 1/\Delta\omega_0$ , rather than by having a fast photodetector.

However, for the application of pulsewidth measurement, we remain most interested in the strong-signal regime in which classical considerations dominate. Therefore, we desire a clear indicator of when we are in the classical regime.

### B. The Photon Degeneracy Parameter

The distinction between classical and quantum regimes of optics is usefully characterized by the photon degeneracy parameter  $\delta_\omega$ , which is the number of photons per unit cell of size  $h^3$  of 6-dimensional phase volume. The degeneracy parameter is perhaps more memorably stated as the number of photons per mode, as introduced by Einstein [27]. Its relevance to optical correlation phenomena was first noted by Brown and Twiss [22].

When the source area is larger than that of the detector aperture, the degeneracy parameter for frequency interval  $\Delta\omega$  can be restated as the number of photons per coherence volume  $V_{\text{coh}}$ , where the latter is the product of the square of the transverse coherence length  $D_{\text{coh}}$  of (38) and  $c$  times the coherence time [28]. In this case,

$$V_{\text{coh}} = \frac{cD_{\text{coh}}^2}{\Delta\omega} \quad (\text{Large source}). \quad (44)$$

However, if the source area is much smaller than that of the detector aperture, as holds for studies of synchrotron radiation, the transverse phase volume at the



detector contains strong  $rP_r$  correlations, where  $r$  is the radial coordinate on the detector surface. In this case, it is more appropriate to define the coherence volume in terms of the transverse coherence length  $d_{\text{coh}}$  at the source (eq. (41) for synchrotron radiation), rather than at the detector:

$$V_{\text{coh}} = \frac{cd_{\text{coh}}^2}{\Delta\omega} \quad (\text{Small source}). \quad (45)$$

To verify this, note that for a transversely small source, the angular spread  $\theta$  of the light is of order  $\theta = \lambda/d_{\text{coh}}$ . The transverse momentum of the light then has spread  $\Delta P_{\perp} \approx P\theta \approx (h/\lambda)(\lambda/d_{\text{coh}}) = h/d_{\text{coh}}$ , so  $d_{\text{coh}}\Delta P_{\perp} \approx h$ . The longitudinal momentum spread within a frequency interval  $\Delta\omega_0$  is  $\Delta P_{\parallel} = \hbar\Delta\omega/c$ , so  $\Delta P_{\parallel}c/\Delta\omega \approx h$ . Altogether,

$$\Delta P_{\perp}^2 \Delta P_{\parallel} V_{\text{coh}} \approx (\Delta P_{\perp} d_{\text{coh}})^2 \Delta P_{\parallel} \frac{c}{\Delta\omega} \approx h^3, \quad (46)$$

as desired.

To estimate the degeneracy parameter for synchrotron radiation, we note that according to eq. (2) of [8], the photon spectrum from a single electron as observed at a frequency  $\omega \leq \omega_c$  in a detector that subtends solid angle  $\theta_0^2$  has the remarkably simple form

$$n_{\omega} \approx \alpha \frac{\Delta\omega}{\omega}, \quad (47)$$

where  $\alpha = e^2/\hbar c \approx 1/137$  is the fine structure constant. The transverse extent of this radiation at the source is just  $d_{\text{coh}}$ , as discussed in sec. IX. Its longitudinal extent is  $c\Delta t$ , *i.e.*, the pulsewidth. Hence, the photon number density  $\rho_{\omega}$  of synchrotron radiation from  $N_e$  electrons as it leaves the source is

$$\rho_{\omega} \approx \frac{N_e \alpha \Delta\omega}{\omega d_{\text{coh}}^2 c \Delta t}, \quad (48)$$

and the photon degeneracy number is

$$\delta_{\omega} = \rho_{\omega} V_{\text{coh}} \approx \frac{N_e \alpha}{\omega \Delta t} \approx N_e \alpha \frac{\lambda}{c \Delta t}, \quad (49)$$

using (45).

For example, in the experiment of Catravas *et al.* [4],  $N_e \approx 10^9$ ,  $\lambda \approx 600$  nm, and  $\Delta t \approx 2$  ps, so the photon degeneracy parameter was  $\delta_{\omega} \approx 10^4$ . Quantum statistics played very little role in that experiment.

The degeneracy parameter allows us to assign an effective temperature to synchrotron radiation, which we noted earlier is an excellent example of what has been called a thermal source. As Einstein showed [27], the degeneracy parameter for blackbody radiation at temperature  $T$  is

$$\delta_{\omega} = \frac{1}{e^{\hbar\omega/kT} - 1}, \quad (50)$$

where  $k$  is Boltzmann's constant. For high temperatures,  $\delta_{\omega} \approx kT/\hbar\omega$ . Thus, for the experiment in which  $\delta_{\omega} \approx 10^4$  and  $\hbar\omega \approx 2$  eV, the effective temperature is  $kT \approx 2 \times 10^4$  eV, or  $T \approx 2.5 \times 10^7$  K. In this sense, synchrotron radiation provides a very hot source.

It is interesting to note that sunlight has a degeneracy parameter  $\delta_{\omega} \approx 0.02$ , corresponding to black-body radiation at  $T \approx 6,000$  K.

### C. Quantum Fluctuations in Electron Storage Rings

When one considers the effect of quantum fluctuations on electrons circulating in a storage ring, a different and much lower effective temperature than that deduced from (50) is relevant.

Damping, Hawking-Unruh.....

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